



Wellesley Asset Management, Inc. PRIVACY NOTICE

FACTS	WHAT DOES WELLESLEY ASSET MANAGEMENT, INC. (REFERRED TO AS WAM) DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives you the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> Social Security Number and Date of Birth Risk Tolerance Account Balances and Account Transactions Assets Income and Retirement Assets Investment Experience Checking Account Information Wire Transfer Instructions <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>	
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons WAM chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information for:	Do we share?	Can sharing be limited?
Everyday business purposes, such as to process your transactions; open, maintain and close your account(s); respond to court orders and legal investigations; or report to credit bureaus.	Yes	No
Marketing purposes, to offer our products and services to you.	No	We don't share
Joint marketing with other financial companies.	No	We don't share
Our affiliates' everyday business purposes. This includes information about your transactions and experiences.	No	We don't share
Non affiliates to market to you.	No	We don't share
Questions?	Call 781-416-4000 or go to www.wellesleyassetmanagement.com	

Who we are	
Who is providing this notice?	Wellesley Asset Management, Inc. (referred to as WAM).
What we do	
How does WAM protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does WAM collect my personal information?	For example, we collect your personal information when you: <ul style="list-style-type: none"> • Enter into an investment advisory contract • Open an account • Tell us about your investment or retirement portfolio • Provide account information • Give us your contact information • Show your driver's license or government-issued ID
Why can't I limit all sharing?	Federal law gives you the right to limit only: <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes— information about your creditworthiness • Affiliates from using your information to market to you • Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.
Non affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint Marketing	A formal agreement between non-affiliated companies that together market financial products or services to you.